**SCOTLAND DEANERY ARCP PROCESS – 2023**

**KEY PRINCIPLES**

1. The Scotland Deanery ARCP process 2023 is based on the recommendations of the:

* *[Gold Guide (Reference Guide for Postgraduate Foundation & Specialty Training in the UK: 9th edition, 2022)](https://www.copmed.org.uk/images/docs/gold-guide-9th-edition/Gold-Guide-9th-Edition-August-2022.pdf)*

2. The Annual Review of Competence Progression (ARCP) usually takes place once per year for all trainees (including Less Than Full Time trainees (LTFT)), and with no more than a maximum interval of 15 months. The Gold Guide allows for more than one ARCP within a 12-month period if required (GG 4.39).

3. The ARCP process is comprised of 2 parts:

i) ARCP (Virtual Desktop review by ARCP panel, trainee not in attendance)

ii) Post ARCP trainee meetings should be undertaken for outcomes (2, 3, 4 or LAT equivalent) and national emergency outcome 10.2 (available until Sep 23; see [COPMeD derogation statement](https://www.copmed.org.uk/publications/derogations-statement-final-september-2022)). The standard approach to meetings is that they will be virtual, however arrangements may be possible for face-to-face meetings if requested by the trainee.

4. The ARCP (virtual desktop review) is a review of the documented and submitted evidence that is presented by the trainee and as such the trainee should not attend the panel. (GG 4.83)

5. The post ARCP trainee meetings provide an opportunity for the panel, or a small number of senior educators, to meet with the trainee and discuss their ARCP outcome in full and provide support and specific objectives for the trainee going forward.

6. The Deanery has created standard emails and documentation for the ARCP process which are relevant to the specific arrangements for the 2022/23 training year. There should not be any requirement for standard documentation/emails to be amended unless there are unique/exceptional circumstances. Modified specialty-specific evidence requirements will be outlined by the relevant Royal Colleges/Faculties and United Kingdom Foundation Programme Office (UKFPO) and links (where available) will be listed on the Scotland Deanery website. Additional evidence requirements should not be added to standard documentation/emails.

7. All trainees are required to submit their evidence, in readiness for the virtual desktop ARCP, by a specified date (the cut-off date) which will be advised by their training programme administrator. The Deanery requests that trainees and educational supervisors work towards and meet this submission date. If there are challenges in meeting this deadline trainees should contact their training programme administrator at the earliest opportunity for advice.

8. To reflect the ongoing impact of the Covid-19 pandemic & subsequent service recovery, Outcomes 10.1 and 10.2 that were introduced in 2020 will remain available for use for ARCPs conducted up to and including 30th September 2023. These outcomes will allow trainees to progress whilst noting requirements that still need to be fulfilled (such as attainment of a professional examination). Following 30th September 2023 outcome 10 cannot be used.

9. Outcome 5 will be applied in the standard way i.e., when any of the requested evidence is not submitted by the specified deadline an outcome 5 will be issued.

10. Where a single outcome 5 is issued, it is viewed as a neutral outcome, or ‘holding response’. Where repeated outcome 5s are issued, this may indicate a lack of engagement from a trainee which should be explored by the training team.  In all cases, a follow up outcome will be issued once all evidence has been submitted/ reviewed.

11. Process documents for [outcome 5](#Outcome5a) and [outcome 10](#Outcome10) should be referred to. If panels or the Training Management (TM) administrator is unsure how to proceed, they should consult with a senior member of TM staff. Arrangements will be in place to ensure timely access to a senior member of TM staff during ARCPs.

12. Trainees will have been notified of dates/times by email approximately six months prior to the intended ARCP date by the relevant TM administrator. In exceptional circumstances, these dates may be subject to change, and trainees may be updated with a range of dates during which ARCPs will be completed. Training programme administrators will however advise a date by which all ARCP outcomes will be available.

13. Where it is not possible to complete all ARCPs as planned, priority will be given to undertaking ARCPs for those doctors in training at a critical progression point (i.e., completion of a training programme or completion of training). If it is not possible to convene a panel, the N13 code will be entered.

**THE PROCESS**

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| **ARCP Panel Membership**14. Foundation ARCP: panel will consist of:* 2 x medical educators (e.g., APGD, FPD, or another appropriate medical educator as approved by the LDD)
* Lay Representative (if required)
* TM administrator.

The most senior NES medical educator will be the nominated deputy of the Lead Dean Director (LDD) and will chair the ARCP; (GG4.68)Although the above represents standard panel arrangements, should a national emergency restrict availability of panel members, the minimum panel size may be reduced to 1 x medical educator (plus a lay representative or TM administrator).15. Core/Specialty ARCPs: panel will consist of:* Chair (medical educator, e.g., TPD, APGD, Assistant GPD)
* A further 2-6 x medical educators (e.g., Programme Director, Educational Supervisor).
* TM administrator
* Lay representative (if required)
* Panels may also include academic, Defence Deanery or external representatives as required/ available.

All ARCP panels will be supported and advised by a TM administrator. The most senior NES medical educator will be the nominated deputy of the Lead Dean Director (LDD) and will chair the ARCP (GG 4.69).Although the above represents standard panel arrangements, should a national emergency restrict availability of panel members, the minimum panel size may be reduced to 2 x medical educator (plus a lay representative or TM administrator. One of the two medical educators may be the Chair of the panel).All efforts will be made to seek an external representative, however if an external cannot be sourced the ARCP will proceed.16. Where required, medical educators should also include: * Defence Deanery representative for defence Deanery trainees.
* Academic training lead for academic trainees.
* Educator who can oversee dual/subspecialty training for dual/subspecialty trainees.

17. In the event that a panel has less than 2 medical educators (1 for Foundation), e.g., due to conflict of interest or last-minute cancellation, an appropriate senior Deanery educator (LDD or nominated deputy) should be secured to attend in their place.18. The LDD (or their nominated deputy e.g., APGD, Assistant Director (GP), another appropriate medical educator as approved by the LDD) will be present at any panel where it is possible that a trainee could receive an outcome 2, 3 or 4 (or LAT equivalent) and national emergency outcome 10.2. This is highly recommended for all of the above noted outcomes, but the Deanery regards this attendance as essential for outcomes 3 and 4 (GG 4.71). The educator does not need to have specific responsibility for that specialty to undertake this role. The LDD must be notified of all outcomes 3 and 4 prior to issue. |

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| **ARCP Panel Member Responsibilities**19. [Link to Roles and Responsibilities document](https://scottish-my.sharepoint.com/%3Aw%3A/g/personal/lesley_metcalf_nes_scot_nhs_uk/EU2AhvM20jdPgWvc4jLAoacBfpn9I14s6Xs2JE0jG2yYSA?e=YTJSWk). TM administrators will make this document available to ARCP panel members in advance of and at the ARCP itself.20. All members of the panel must be trained for their role and will be required to confirm that they have attended training in advance of the ARCP. This training should be kept up to date and refreshed every three years. (GG 4.78): * All panel members must have completed equality and diversity training in the last 3 years.
* All panel members must complete relevant e-Learning for Healthcare modules on ARCP. [Link to Further Information](http://www.scotlanddeanery.nhs.scot/trainer-information/arcp/training-for-panel-members/)

21. Where a panel is constituted with the minimum number of medical educators, Deanery administration staff will check in advance if the educator’s own trainees, or trainees known to them in a personal capacity, are being considered by the panel of which they are a member. Any conflicts of interest will be identified in advance and arrangements for an alternative panel member to cover reviews involving a conflict will be arranged to ensure the panel remains quorate i.e., minimum of 2 medical educators (1 for Foundation) (GG 4.79). 22. Where the panel has more than the minimum number of educators, members will be required to declare an interest if their own trainees are being considered by the panel. Where there are any concerns about satisfactory educational progress they must withdraw temporarily from the meeting whilst their trainee is being considered and only re-join the meeting when an outcome has been finalised. The panel should be constituted such that, in that situation, it remains quorate i.e., minimum of 2 medical educators (1 for Foundation) (GG 4.79).  |

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| **EIGHT weeks prior to ARCP**23. Eight weeks prior to the date of the ARCP (virtual desktop review), TM administrator will send out a standard email to trainees (and copy to educational supervisors). It will confirm their requirement to gather the appropriate evidence and submit it by a specified date prior to the date of the ARCP. If there are amendments to ARCP requirements from Royal Colleges/Faculties/ UKFPO after the eight-week email has been issued, a supplementary communication will be issued clarifying updated arrangements.24. If the notification/ supplementary communication is for a final ARCP, Deanery administration staff should add any College/Faculty other requirements to the email.25. Each specialty/programme will have a required evidence list which will be available on the Royal College/Faculty/ UKFPO website. Where available, links will be provided in emails and on the Deanery website. Separate regional requirements cannot be added.26. Communications will include details of the standard mandatory requirements: request for trainees to check that their information held on TURAS is up to date; instruction to complete SOAR declaration; absence declaration; OOP form etc.27. At least eight weeks prior to the date of the ARCP (desktop review), the Deanery team will initiate a SOAR declaration.28. The SOAR declaration must be completed in the six-month period preceding the ARCP (excluding F1). If a trainee has more than one ARCP within a 12-month period, they may be required to complete more than one SOAR declaration. All trainees are encouraged to sign off their SOAR declaration as soon as possible to give their ES and TPD time to review and sign off.29. The absence declaration must be completed for the period since the last ARCP. If a trainee has more than one ARCP within a 12-month period, they will be required to complete an absence declaration each time. The trainee is responsible for uploading the absence declaration, where required, to the relevant portfolio.30. Trainees must submit both the SOAR declaration and absence declaration. Failure to do so by the advised submission date will result in an Outcome 5 and the trainee will be asked to provide the information within a 2-week period which will facilitate a revised ARCP Outcome. If the SOAR declaration and the absence declaration is not submitted in the required timescale following issue of an Outcome 5 this will be escalated as outlined in the [outcome 5 flowchart.](#Outcome5a)31. The Educational Supervisor (ES) is required to complete an ES Report as part of the trainee’s evidence submission.  If there are concerns about a trainee’s performance (based on the available evidence), the trainee must be made aware of these concerns, and they should be documented in their educational portfolio.  The ES should discuss the ES report with the trainee prior to submission.  The report and any discussion that takes place following its compilation must be evidence-based, timely, open, and honest.  If such a discussion cannot take place, it is the duty of the ES to report the reasons to the ARCP panel in advance of the desktop review. |
| **TWO weeks prior to ARCP**32. The TPD, or another appropriate educator, is required to advise the Deanery team, as early as possible, if an outcome 2, 3 or 4 (or LAT equivalent) or national emergency outcome 10.2 is anticipated. The Deanery team will ensure that an appropriate senior educator is available to attend the desktop review (and if appropriate, trainee meetings) for outcomes 3 and 4. Where possible they will attend for outcome 2s and 10.2. 33. Where it has been indicated that the ARCP may issue an outcome 2, 3 or 4 (or LAT equivalent) or national emergency outcome 10.2, the trainee should normally be informed of the possible outcome prior to the panel meeting. (GG 4.85). 34. For foundation programmes, TM administrators will add panel details to TURAS to provide link to e-portfolio and allow pre-population of ARCP forms.  |

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| **ARCP (Desk-top Review)**35. The objectivesof the desk-top revieware as follows:36. Systematically consider the evidence as presented for a trainee against the UKFPO/ RoyalCollege/Faculty requirements for 2022/23 Foundation, specialty, or sub-specialty curriculum,assessment framework and Good Medical Practice and make a judgement based upon it so thatone of the outcomes is agreed.37. Consider and approve the adequacy of the evidence and documentation provided by thetrainee, which at a minimum must consist of: * review of the trainee’s educational portfolio including a structured report from the educational supervisor(s)
* documented assessments (as required by GMC approved foundation/core/specialty curriculum)
* SOAR declaration (excluding F1)
* Deanery absence form.
* other achievements as appropriate.

38. Review details of placements, training modules etc. completed which must be recorded onthe portfolio/ ARCP form, including where trainees continue to hold a training number but are outof programme. 39. Consider time out of training during the assessment period and from entry to the programme,to determine whether the training duration needs to be extended.40. At the ARCP, the FPCC end date, the core training programme end date or provisional CCT dateshould be reviewed and adjusted if necessary, taking into account such factors (GG4.87): * statutory leave or other absence of more than 20 (normal working) days in any year for foundation doctors.
* Clinical statutory leave, sickness, or other absence of more than 14 (normal working) days in any year for core and specialty trainees.
* Prior agreement with the LDD for training time to be paused.
* A change to or from LTFT training.
* Time out of programme for experience (OOPE), time out of programme for research (OOPR) or time out of programme for a career break (OOPC).
* Rate of acquisition of capabilities that might bring forwards the CCT date.
* For dual trainees or trainees undertaking sub-specialty training alongside main specialty training, whether both should continue to be pursued.
* The academic component of joint clinical/academic core or specialty programme.
* Failure to demonstrate achievement of capabilities (Outcome 3/10.2) as set out in the GMC-approved curriculum.
* Where there have been significant deficits in the training environment beyond the control of the trainee.
* Where a change in the curriculum results in a trainee requiring additional training time to complete a programme

The adjusted date should be entered on the ARCP Outcome Form. TURAS is updated with the new date and the reason for the change. 41. Make a judgement about whether the trainee’s progress has been satisfactory, and they canprogress to the next level of training.  This may include scenarios where a trainee is able to progressbut still needs to complete activity delayed because of the Covid-19 recovery or other national emergency (outcome 10). In such scenarios the panel must capture in an action plan/ personaldevelopment plan what the required capabilities will be that are expected to be evidenced at thenext scheduled ARCP. Where applicable, record the date of progression to the next stage of training(GMC requirement).42. Some Royal Colleges and Faculties reduced WPBA requirements during the Covid-19 pandemic and subsequent recovery. Panels should use the current decision aids to make an informed judgement on what competencies/ capabilities a trainee must acquire in their next placement. For most trainees this should be manageable. However, if the panel concludes, on the evidence available, that the burden of required assessments/examinations would be so onerous that it would put the trainee’s mental/physical health at risk they may award an outcome 10.1 and advise an early ARCP to review the trainee’s wellbeing and progress. This facility only applies for ARCPs conducted before 30 September 2023.43. Provide comment and feedback where applicable on the quality of the structured educational supervisor’s report.44.The Deanery administration team will provide panel members with reference documents: outcome descriptions, options for extensions, roles and responsibilities. 45. The panel makes its recommendation of an outcome to the responsible LDD. The LDD can intervene if appropriate. 46. TM administrator supports desk-top review by taking notes on the panel discussion in relation to developmental outcomes; and recording all outcomes on TURAS, where possible during, or following the desktop review. [Bulk uploads to TURAS can aid this process]. Foundation outcomes will be recorded on e-portfolio as opposed to TURAS.47. The ARCP Outcome Form will be completed at the desk-top review on e-portfolio or in paper format. Administrators and TPDs will have agreed arrangements for who will complete the *Supplementary documentation* section of the form – agreement is based on most suitable method for that ARCP and specialty. Paper forms can be completed by hand.48. In the *Discussion with trainee* section, the TPD (or other medical educator if TPD is unavailable) or TM administrator should record ‘trainee meeting not required’ or note the date when the trainee virtual meeting (outcomes 2, 3, 4 and 10.2 only) will take place. The headings underneath should be completed in relation to the panel’s discussion at desk-top review.49. Dual or subspecialties: trainees will receive two separate outcomes. Both will be recorded on TURAS.50. TM administrators should always have access to a senior member of TM staff during ARCPs, who can be called upon to provide support as required.  |

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| **Following Desk-top Review**51. If those in attendance have concerns about the outcomes decided upon by the panel, these will be raised, in writing, with the LDD for further consideration. The Dean may decide to establish a different panel to consider further the evidence that has been presented and the outcomes recommended (GG 4.76). On completion of any investigation, the information will be shared with Quality Improvement Manager/Quality Lead for that specialty, who could discuss this through the Specialty Quality Management Group. 52. Trainees will be advised of their outcome through TURAS. The date when outcomes will be available will be advised to trainees by their training programme administrator.53. Trainees will receive an automated email via TURAS detailing their outcome and notifying whether a trainee virtual meeting will be required.54. Following the automated email, if applicable, the TM administrator will contact the trainee to inform them of arrangements for the virtual meeting and/or follow-up requirements. 55. The automated email asks the trainee to sign the ARCP outcome form (GG 4.119). The trainee is signing to demonstrate that they have been informed of the outcome, not that they agree with the outcome, and this will not change the trainee’s right to request a review/appeal. Trainees are required to acknowledge receipt of their outcome through TURAS training programme management (TPM). Trainees will be unable to access other areas of TURAS until the ARCP outcome has been acknowledged.56. The trainee will be asked to sign off the ARCP outcome form on e-portfolio; or if not available on e-portfolio, trainee will be sent a copy (paper or electronic) and asked to sign and return it (digital signatures are acceptable).57. It is the trainee’s responsibility to sign off their ARCP outcome. However, TM administrators should check that all trainees in receipt of an outcome 2, 3 or 4 (or LAT equivalent) or national emergency outcome 10.2 have completed the sign-off. If such a trainee has not signed off their outcome, the TM administrator should send out a reminder email. If there is no response, TM administrator should escalate to TPD (or other senior educator if the TPD is unavailable) who will then escalate to APGD/ LDD if not resolved within 2 weeks of the reminder email.58. All trainees receiving an outcome 10.2 will have a further ARCP to monitor progress against the action plan which is put in place at the time the 10.2 outcome is awarded. 59. Outcome 10.1 at a critical progression point (Four Nation Statement; valid until 30 Sep 2023)At the end of core training a trainee may be awarded an outcome 10.1 if they have not acquired a mandatory requirement that has been derogated (e.g., exam/course). If this outcome is given the TM administrator will contact the trainee and ask if they are 1. Progressing to a higher training programme
2. Leaving the programme and will acquire missing capabilities outside of training
3. Stay in the core programme and use additional training time to acquire the missing capabilities.

The LDD or the LDD delegate will contact the trainees in category 3 and discuss if additional training time is appropriate. The options available to trainees in category 3 will vary across the Deanery and as such may not be in their current training location. |

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| **Post ARCP Trainee Meetings**60. Post ARCP trainee meetings (trainee in attendance) will only be **required for outcomes 2, 3, 4 (or LAT equivalent) and national emergency outcome 10.2 in 2022/23**. The default will be that these meetings are virtual however the trainee should be given the option to have a face to face meeting. If the latter is chosen, one of the educators should be asked to attend a venue with the trainee. The administrator will attend virtually to take notes. Programme Directors may, however, choose to hold educational meetings with other trainees that coincide with the ARCP process. Please refer to point 65.61. TM administrator will support post ARCP trainee virtual meetings for outcomes 2, 3, 4 (or LAT equivalent) and outcome 10.2 by taking notes of discussion. Meeting notes will be signed off by the educator conducting the meeting and trainee following the meeting. If there is a disagreement regarding the discussion notes this will be escalated by the educator to the APGD (if the educator is not the ARCP panel chair the panel chair will also be informed).62. At the conclusion of the post ARCP meeting, TM administrators will provide, via email, standard information sheets which advise the trainee of their options following this outcome. 63. If non-educational issues are raised at the virtual meeting, for example a poor learning environment or intimidation / bullying, the educator conducting the meeting will formally write to the relevant LDD.64. TPDs/Panels may wish to meet with other trainees, but this will not form part of the ARCP process.65. Trainees on an outcome 3, 4 or 10.2 should have a follow up ARCP in 6 months to ensure progress is being made. Trainees on an outcome 2 should have an educational review unless new concerns emerge which necessitate an ARCP being arranged earlier than planned. |

ARCP (Desktop Review)

 **Outcome 10 flowchart** **– To be used during periods of declared national emergency / force majeure (currently in place until 30th September 2023)**

